



Ref No. IRC/111/2025-26

22.07.2025

The General Manager, Department of Corporate Services, BSE Limited, Floor 1, P.J. Towers, Dalal Street, Mumbai 400 001	The Vice President, National Stock Exchange Ltd, Exchange Plaza, C-1 Block G, Bandra-Kurla Complex, Bandra (E), Mumbai – 400 051
BSE SCRIP CODE: 532388	NSE SCRIP CODE: IOB

Dear Sir/ Madam,

Disclosure under Regulation 30 of the SEBI (LODR) Regulations, 2015
Disciplinary Action on the Bank by Hong Kong Monetary Authority

In terms of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby inform you that Hong Kong Monetary Authority (HKMA) has imposed a disciplinary action on Hong Kong Branch of the Bank (IOBHK) for contravention of Section 23, 19 (3) and paragraph (c) of Section 5(1) of Schedule 2 to the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (AMLO) between May 2021 to January 2024 by ordering for conducting a look-back review on the transaction alerts generated by its transaction monitoring system, submitting to the HKMA a remedial plan to address the contraventions, submitting a report prepared by an independent external advisor to the HKMA assessing whether the remedial measures are effective, and also for IOBHK to pay a pecuniary penalty.

The Bank and its branch operating at Hong Kong have taken necessary preventive actions to avoid such recurrence in future and further strengthen its internal processes in this regard. The impact of the said action on the Bank is not material.

The details as required under Point 20 Para A Part A of Schedule III to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 are enclosed herewith as Annexure - I.

We request you to take the above information on record.

Yours faithfully,

(Ram Mohan K)
Compliance officer



Details of order as required under Point 20 Para A Part A of Schedule III to Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Name of the authority	Hong Kong Monetary Authority
Nature and details of the action(s) taken or order(s) passed	Disciplinary action including Pecuniary Penalty
Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	22.07.2025
Details of the violation(s)/contravention(s) committed or alleged to be committed	Contravention of Section 23, 19 (3) and paragraph (c) of Section 5(1) of Schedule 2 to the Anti-Money Laundering and Counter-Terrorist Financing Ordinance (AMLO) between May 2021 to January 2024.
Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	Not Material

